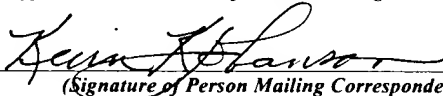


08-24-01

SECTOR

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket No. 15313.1
Applicant(s): Hsiang-Lan Tung		
Serial No. 09/882,480	Filing Date 6/14/01	Examiner Not Yet Assigned
Group Art Unit 2811		
Invention: HIGH DENSITY SINGLE TRANSISTOR FERROELECTRIC NON-VOLATILE MEMORY		
<p>I hereby certify that this <u>Response to Notice to File Missing Parts</u></p> <p style="text-align: center;"><small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>August 23, 2001</u></p> <p style="text-align: center;"><small>(Date)</small></p> <div style="text-align: right; margin-top: 20px;"><p><u>Kevin K. Johanson</u></p><p style="text-align: center;"><small>(Typed or Printed Name of Person Mailing Correspondence)</small></p><p><u></u></p><p style="text-align: center;"><small>(Signature of Person Mailing Correspondence)</small></p><p><u>EL825833735US</u></p><p style="text-align: center;"><small>("Express Mail" Mailing Label Number)</small></p></div>		

Note: Each paper must have its own certificate of mailing.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15313.1

In Re Application Of: **Hsiang-Lan Lung**

Serial No.
09/882,480

AUG 23 2001

Filing Date
June 14, 2001

Examiner
Not Yet Assigned

Group Art Unit
2811

Invention: **HIGH DENSITY SINGLE TRANSISTOR FERROELECTRIC NON-VOLATILE MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
August 8, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Assignment with Recordation Coversheet (3 pages)

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15313.1

In Re Application Of: **Hsiang-Lan Lung**

Serial No.
09/882,480

Filing Date
June 14, 2001

Examiner
Not Yet Assigned

Group Art Unit
2811

Invention: **HIGH DENSITY SINGLE TRANSISTOR FERROELECTRIC NON-VOLATILE MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
15313.1

In Re Application of **Asiang-Lan Lung**

Serial No.
09/882,480

Filing Date
June 14, 2001

Examiner
Not Yet Assigned

Group Art Unit
2811

Invention: **HIGH DENSITY SINGLE TRANSISTOR FERROELECTRIC NON-VOLATILE MEMORY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **23-3178**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **Aug. 23, 2001**

Kevin K. Johanson, Reg. No. 38,506



022913

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Kevin K. Johanson

Typed or Printed Name of Person Mailing Correspondence



AUG 23 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/882,480	06/14/2001	Hsaing-Lan Lung	15313.1

CONFIRMATION NO. 8120

FORMALITIES LETTER



OC000000006400429

KEVIN K. JOHANSON
WORKMAN NYDEGGER & SEELEY
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UT 84111

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/27/2001 EABUBAK1 00000105 09882480

01 FC:105

130.00 DP